



SAGE-26,407

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Vincent Alan Larsen

Serial No.: 10/635,755

Conf. No. 9362

Filed: August 5, 2003

Group: 2131

Examiner: To Be Assigned

For: FINANCIAL TRANSACTION SERVER WITH PROCESS-BASED
SECURITY

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

5/20/04

(Date of Deposit)

David C. Cain

(Name of Person Mailing Document)

David C. Cain

(Signature)

DECLARATION OF DAVID C. CAIN IN SUPPORT OF PETITION

David C. Cain, hereby declares and makes the following statements in support of the concurrently filed petition in the above-referenced application:

1. I am the attorney of record for the referenced application. My mailing address is as follows: HOWISON & ARNOTT, L.L.P., P.O. Box 741715, Dallas, Texas 75374-1715.
2. On August 5, 2003, I prepared the above-referenced Nonprovisional Application ("the

Declaration of John J. Arnott
S/N 10/635,755
Atty. Dkt. No. SAGE-26,407

Application”) for filing in U.S. Patent and Trademark Office. The Application included 48 pages of specification and 14 sheets of drawings, sheets eight to fourteen including Figures 12-18.

3. The Application was accompanied by a Utility Patent Application Transmittal (the “Transmittal”) bearing Express Mail Label No. EL910485793US, and a return post card bearing Express Mail Label No. EL910485793US.
4. After preparation, a file copy of the Application, including Transmittal and return postcard, was made by my staff.
5. Before placing the Application in an Express Mail envelope, I personally inspected the Application, verifying that all 48 pages of specification and all 14 sheets of drawings were present, along with the Transmittal, Fee Transmittal, Declaration and Power of Attorney, Assignment Recordation/Assignment and return post card. I also personally reviewed the file copy of Application, verifying that it was a true copy of same.
6. After my inspection, I personally placed the Application, with Transmittal and return postcard, inside an Express Mail envelope bearing tracking number EL910485793US, and then sealed the Express Mail envelope. Figures 12-18 were present in the envelope when sealed.
7. A copy of the Application as filed, including the Transmittal, made from the original true file copy, is attached hereto as Exhibit A. Figures 12-18 are included as Pages 8 - 14.
8. A copy of the return postcard is attached hereto as Exhibit B. The postcard includes that stamp of the U.S. PTO bearing Serial No. 10/635,755. It further indicates under “Papers:” the following items were included “Utility Patent Application Transmittal (1); Fee Transmittal (1); Specification (48); Drawings (14); Declaration and Power of Attorney (2); Assignment Recordation/Assignment (3).”

I declare further that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Titled 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

David C Cain
David C. Cain, Reg. 45,337
5/20/2004
Date